12-201-04



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TRANSMITTAL

**FORM** 

(to be used for all correspondence after initial filing)

Application Number	10/774,951
Filing Date	February 9, 2004
First Named Inventor	Friesen, et al.
Art Unit	1614
Examiner Name	Unknown
Attacas Dealest Normhan	(74EC) 0004U 010/UC

			Examiner Name Unknown		wn	
Total Number of Pages in This Submission			Att	torney Docket Number	(7456) 2664H-019/US	
		ENCLO	SUF	RES (check all that apply)		
Fee Transmittal F	om	☐ Drawing	g(s)			er Allowance Communication to chnology Center (TC)
Fee Attached		Licensi	ng-related Papers			peal Communication to Board of peals and Interferences
Amendment / Rep	oly	Petition	)	`		peal Communication to TC peal Notice, Brief, Reply Brief)
After Final	į	_		Convert to a Application	Pro	prietary Information
Affidavits/decl	laration(s)	Power of Change	of At	ttorney, Revocation Correspondence Address	☐ Sta	tus Letter
Extension of Time	Request	Teminal Disclaimer		Other Enclosure(s) (please identify below):		
Express Abandonment Request		Request for Refund  CD, Number of CD(s)			Form 1449 Five references Return Postcard	
Supplemental Information Disclosure Statement						
Certified Copy of Priority Document(s)		Remarks  The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.				
Response to Miss Incomplete Applic					·	
Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or Individual name  Harness, Dickey & Pierce, P.L.			Attorney Name James E. Davis		Reg. No. 47,516	
Signature	Signature Q E . L .					
Date	December 28, 2004					
CERTIFICATE OF TRANSMISSION/MAILING						

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	James E. Davis	Express Mail Label No.	EV 317349685 US
Signature	( _ 2	Date	December 28, 2004

This collection of Information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/774,951

Filing Date: February 9, 2004 Applicant: Friesen, et al.

Group Art Unit: 1614 Examiner: Unknown

Title: COMPOSITION AND METHOD FOR USE IN CARTILAGE

AFFECTING CONDITIONS

Attorney Docket: (7456) 2664H-019/US

December 28, 2004

Commissioner for Patents Mail Stop Amendment P.O. Box 1450 Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits a Supplemental Information Disclosure Statement for consideration by the Examiner.

#### I. LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

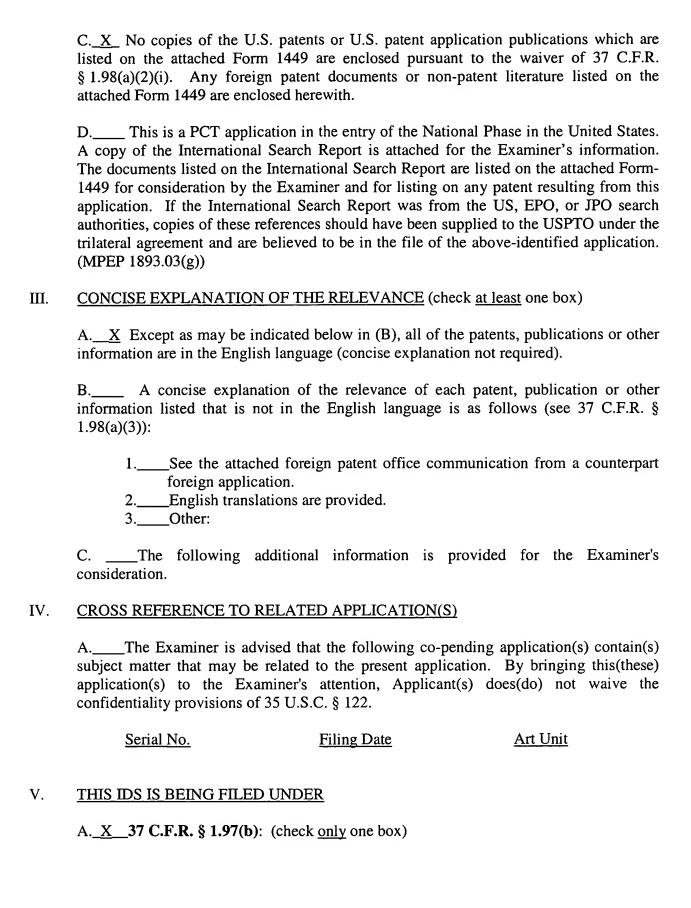
#### II. COPIES

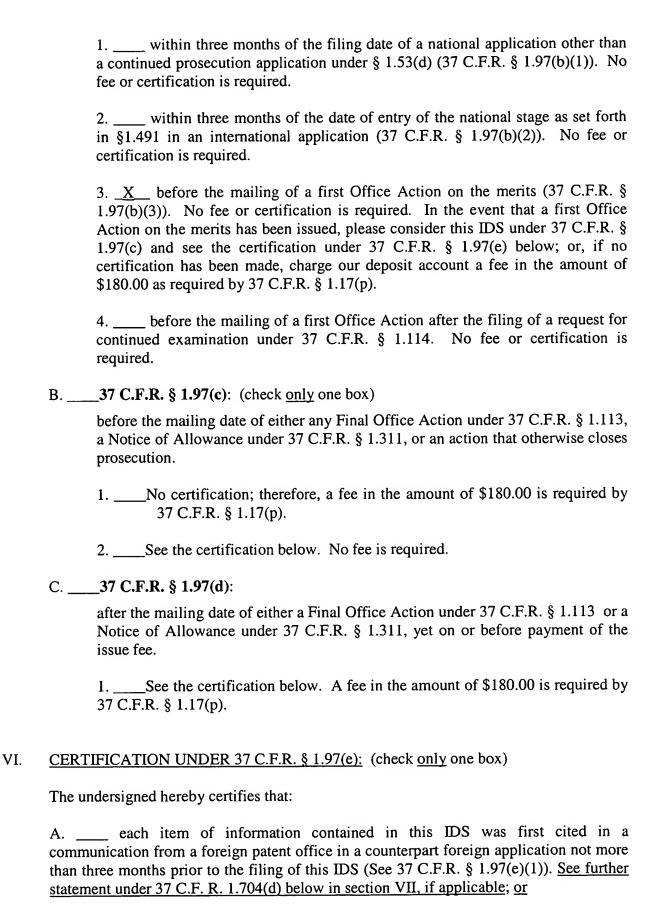
A. Submitted herewith is a legible copy of (i) each U.S. patent application publication and U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; (iii) for each cross-referenced pending U.S. application listed below in Section IV, the application specification including the claims, and any drawing of the application which caused it to be listed including the claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.

B. \_\_\_\_ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Serial Number

U.S. Filing Date





B. \_\_\_\_ no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).

C. \_\_\_Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.

## VII. STATEMENT UNDER 37 CFR 1.704(d)

The undersigned hereby states that:

each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS.

## VIII. PAYMENT OF FEES (check only one box)

- A. \_\_\_\_ A check in the amount of \$180.00 is enclosed for the above-identified fee.
- B. \_\_\_\_Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

Respectfully submitted,

By:

James E. Davis, Reg. No. 47,516 HARNESS, DICKEY & PIERCE, P.L.C. 7700 Bonhomme, Suite 400

St. Louis, Missouri 63105

(314) 726-7500



FORM HDP-1449 (Based on Form PTO-1449)

# PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

Sheet 1 of 1

ATTORNEY DOCKET NO.	SERIAL NO.		
(7456) 2664H-000019/US	10/774,951		
APPLICANT			
Friesen, et al.			
FILING DATE	GROUP		
February 9, 2004	1614		

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		5,587,363	12/24/96	Henderson	514/54	
2.		6,255,295	7/03/01	Henderson, et al.	514/54	
3.	-	6,271,213	8/07/01	Henderson, et al.	514/53	

FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	n No

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)					
Ref. Desig.	Examiner's Initials				
4.		Beren, et al.; Effect of Pre-Loading Oral Glucosamine HCL/Chondroitin Sulfate/Manganese Ascorbate Combination on Experimental Arthritis in Rats, <i>Experimental Biology and Medicine</i> , Vol. 226, pp.144-151, 2001			
5.		Kroger, et al.; The Effect of Tryptophan Plus Methionine, 5-Azacytidine, and Methotrexate on Adjuvant Arthritis of Rat; General Pharmacology, Vol. 33, No. 2, pp. 195-201, August 1999			
6.		Soeken, et al.; Safety and Efficacy of S-Adenosylmethionine (SAMe) for Osteoarthritis: a Meta-Analysis; Journal of Family Practice, Vol. 51, No. 5, May 2002			
7.		Takizawa, et al.; Production of Tissue Inhibitor of Metalloproteinases 3 Is Selectively Enhanced by Calcium Pentosan Polysulfate in Human Rheumatoid Synovial Fibroblasts; <i>Arthritis Rheum.</i> , Vol. 43, No. 4, pp. 812-820, April 2000			
8.		Yen, et al.; Manganese Superoxide Dismutase and Cytochrome P450 1A1 Genes Polymorphisms in Rheumatoid Arthritis in Taiwan; <i>Human Immunology</i> , Vol. 64, No. 3, pp.366-373, March 2003			

Examiner:	Date Considered: